



PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lalitha Vaidyanathan; Customer No.: 28863  
John Quinn; Ahmed  
Khaishgi; Cara Cherry  
Serial No.: 10/672,136 Examiner: Unknown  
Filed: September 26, 2003 Group Art Unit: Unknown  
Docket No.: 1018-001US03  
Title: AUTOMATED ONLINE DISPUTE RESOLUTION

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Post Service, as First Class Mail, in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on November 26, 2003.

By: Angela Watson  
Name: Angela Watson

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

Applicant submits the reference listed on the attached form PTO-1449, a copy of which is enclosed. This statement is being filed within three months of the filing date of the application.

Respectfully submitted,

Date: November 25, 2003

Shumaker & Sieffert, P.A.  
8425 Seasons Parkway, Suite 105  
St. Paul, Minnesota 55125  
Phone: (651) 735-1100  
Fax: (651) 735-1102

KJS

By: Kent J. Sieffert  
Reg. No. 41,312

<b>Form 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> <small>Use several sheets if necessary</small>		Docket Number: 1018-001US03	Application Number: 10/672,136
		Applicant: Lalitha Vaidyanathan; John Quinn; Ahmed Khaishgi; Cara Cherry	
		Filing Date: September 26, 2003	Group Art Unit: Unknown
		Examiner Name: Unknown	

#### U.S. PATENT DOCUMENTS

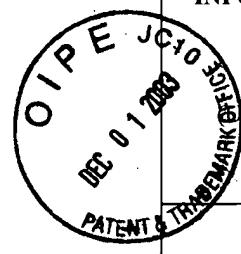
Examiner Initial	Document Number	Issue/Document Publication Date	Name	Filing Date If Appropriate
	5,495,412	2/27/96	Thiessen	
	5,668,953	9/16/1997	Sloo	
	5,893,905	4/13/1999	Main et al.	
	5,895,450	4/20/1999	Sloo	
	5,956,687	09/21/1999	Wamsley et al.	
	5,970,475	10/19/1999	Barnes et al.	
	6,237,096 B1	05/22/2001	Bisbee et al.	
	6,330,551 B1	12/11/2001	Burchetta et al.	
	6,347,332 B1	02/12/2002	Malet et al.	
	6,363,384	3/26/2002	Cookmeyer, II, et al.	
	6,389,151 B1	05/14/2002	Carr et al.	
	6,442,687 B1	08/27/2002	Savage	
	6,470,448 B1	10/22/2002	Kuroda et al.	

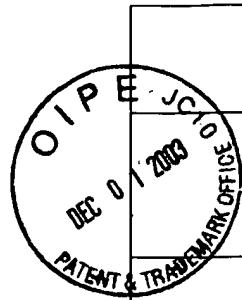
#### FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Translation	
				Yes	No
	EP 0 689 824 A1	01/03/1996	EP	X	

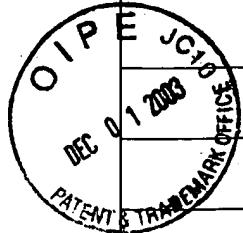
#### OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Publication)

	Bordone, Robert C., "175 Electronic Online Dispute Resolution: A Systems Approach-Potential, Problems, and a Proposal," Harvard Negotiation Law Review, pgs. 175-211, 1998, <a href="http://cyber.law.harvard.edu/property00/jurisdiction/bordoneedit.html">http://cyber.law.harvard.edu/property00/jurisdiction/bordoneedit.html</a> .
	Chua, Lusan, "Ebay: Conflict Management in an Online Community," 2002, <a href="http://www.ombuds.org/cyberweek2003/chua.paper.htm">http://www.ombuds.org/cyberweek2003/chua.paper.htm</a> .
	Devack, Melissa, "Intellectual Property As An Investment: A Look At How ADR Relates to the European Union's Proposal for Electronic Commerce in the Single Market," 2002, <a href="http://www.cardozo.yu.edu/cojcr/final_site/articles_notes/vol2_an/Devack.htm">http://www.cardozo.yu.edu/cojcr/final_site/articles_notes/vol2_an/Devack.htm</a> .
	Geist, Michael, "Fair.com?: An Examination of the Allegations of Systemic Unfairness in the ICANN UDRP," University of Ottawa, August 2001, <a href="http://aix1.uottawa.ca/~geist/geistudrp.pdf">http://aix1.uottawa.ca/~geist/geistudrp.pdf</a> .

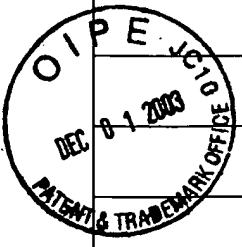




	Gilbert, Pamela, "On Space, Sex and Stalkers," <a href="http://www.echonyc.com/~women/Issue17/art-gilbert.html">http://www.echonyc.com/~women/Issue17/art-gilbert.html</a> .
	Johnson, David R., "Dispute Resolution in Cyberspace," <a href="http://www.eff.org/Legal/Arbitration/online_dispute_resolution_johnson.article">http://www.eff.org/Legal/Arbitration/online_dispute_resolution_johnson.article</a> , February 1994.
	Katsh, Ethan M., "Dispute Resolution in Cyberspace," Conn. L. Rev., 1996, <a href="http://www.umass.edu/legal/articles/uconn.html">http://www.umass.edu/legal/articles/uconn.html</a> .
	Katsh, Ethan et al., "E-Commerce, E-Disputes, and E-Disputes Resolution: In the Shadow of "eBay Law", Ohio State Journal on Dispute Resolution, Vol. 15:3, pgs. 705-734, 2000.
	Manevy, Isabelle, "Online Dispute Resolution: what future?" June 2001, <a href="http://www.ombuds.org/cyberweek2002/manevy_odr01.pdf">http://www.ombuds.org/cyberweek2002/manevy_odr01.pdf</a> .
	Mudd Jr., Charles Lee, "Cybercourt: A Virtual Resolution of Differences," 1995, <a href="http://www.mudd.org/professional/articlesclm/cybercourt.htm">http://www.mudd.org/professional/articlesclm/cybercourt.htm</a> .
	Rabinovich-Einy, Orna, "The Ford-Firestones of the Future: Resolving Offline Disputes in an Online Society," <a href="http://www.ombuds.org/cyberweek2003/rabinovich_einy.htm">http://www.ombuds.org/cyberweek2003/rabinovich_einy.htm</a> .
	Schneider, Michael E. et al., "Dispute Resolution in International Electronic Commerce," <a href="http://www.disputes.net/cyberweek2001/interElecCommerce.htm">http://www.disputes.net/cyberweek2001/interElecCommerce.htm</a> .
	SchWeber, Claudine, "The Use of Technology in Conflict Resolution," 1995, <a href="http://www.batnet.com/oikoumene/arbtadr.html">http://www.batnet.com/oikoumene/arbtadr.html</a> .
	Thiessen, Ernest et al., "Beyond Win-Win in Cyberspace," Ohio State J. of Dispute Resolution, 2000, 15(3), 643, <a href="http://www.smartsettle.com/more/beyond/BeyondWinWin.html">http://www.smartsettle.com/more/beyond/BeyondWinWin.html</a> .
	"ADR Resources," Center for Information Technology and Dispute Resolution, <a href="http://www.ombuds.org/center/articles1">http://www.ombuds.org/center/articles1</a> .
	"Code of Online Business Practices," Council of Better Business Bureau, Inc., 2003, <a href="http://www.bbbonline.org/reliability/code/code.asp">http://www.bbbonline.org/reliability/code/code.asp</a> .
	"Disputes in cyberspace 2001," Update for Online Disputes Resolution for Consumers in Cross-Border Disputes, Office for Developed and Transition Economies, Consumers International, November 2001.
	"Out-of-Court Dispute Settlement Systems for E-Commerce," The Report from the workshop held in Brussels, European Commission Report.
	"Proceedings of a Conference on Electronic Dispute Resolution," National Center for Automated Information Research (NCAIR), May 22, 1996, Washington D.C.



	Declaration of Ethan Katsh Under 37 C.F.R. 1.132
	Explanation of 'contract' and 'marketplace' printed from <a href="http://www.dictionary.com">http://www.dictionary.com</a> .
	Information on Bell Atlantic, 1998, 1999.
	Information on Neural Tech, Inc., 1996-1998.
	Unisys to market Neural Tech CADRE software as part of its credit card dispute processing solution set, October 22, 1998.
	U.S. Serial Number 09/634,149, filed August 8, 2000, entitled "Electronic Seals," by Khaishgi, Ahmed et al.
	U.S. Serial Number 10/643,263, filed August 19, 2003, entitled "Certification and Unique Electronic Seals for Online Entities," by Khaishgi, Ahmed et al.
	U.S. Serial Number 10/643,309, filed August 19, 2003, entitled "Security for Uniquely Generated Electronic Seals of Certification," by Khaishgi, Ahmed et al.
	<a href="http://www.arb-forum.com">http://www.arb-forum.com</a> - National Arbitration Forum
	<a href="http://www.i-courthouse.com">http://www.i-courthouse.com</a> - I-Courthouse, Inc.
	<a href="http://www.internetneutral.com">http://www.internetneutral.com</a> - Internet Neutral
	<a href="http://www.arbiter.wipo.int/arbitration/">http://www.arbiter.wipo.int/arbitration/</a> - WIPO Arbitration and Mediation Center
	<a href="http://www.clicknsettle.com">http://www.clicknsettle.com</a> - clickNsettle.com, Inc.
	<a href="http://www.cybersettle.com">http://www.cybersettle.com</a> - Cybersettle.com
	<a href="http://www.onlineresolution.com">http://www.onlineresolution.com</a> - Online Resolution – Mediation
	<a href="http://www.iescrow.com">http://www.iescrow.com</a> - I-Escrow Inc.
	<a href="http://www.resolution.org">http://www.resolution.org</a> - Resolution Forum, Inc.
	<a href="http://www.disputes.org">http://www.disputes.org</a> - Disputes.Org
	<a href="http://www.ebay.com">http://www.ebay.com</a> - eBay Inc.
	<a href="http://www.digimarc.com">http://www.digimarc.com</a> - Digimarc
	<a href="http://www.webassured.com">http://www.webassured.com</a> - WebAssured.com
	<a href="http://www.bbbonline.com">http://www.bbbonline.com</a> - BBBOnline (A Better Business Bureau Program)
	<a href="http://www.valuestar.com">http://www.valuestar.com</a> - ValueStar, Inc.

	<a href="http://www.verisign.com">http://www.verisign.com</a> - VeriSign Internet Trust Services
	<a href="http://www.truste.com">http://www.truste.com</a> - TRUSTe
	<a href="http://www.paypal.com">http://www.paypal.com</a> - PayPal
	<a href="http://www.betterweb.com">http://www.betterweb.com</a> - BetterWeb Program
<b>EXAMINER</b>	<b>Date Considered</b>

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820  
(Also form PTO-1449)

Patent and Trademark Office, U.S. Department of Commerce